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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.: 2004-0308A

First Named Inventor : Masahiro UEKAWA

Title: SUBASSEMBLY AND OPTICAL MODULE

Express Mail Label No.:

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

 ADDRESS TO: Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

 1. ☒ Fee Transmittal Form
(Submit an original, and a duplicate for fee processing)

 7. ☐ CD-ROM or CD-R in duplicate, large table or computer program (Appendix)

 2. ☐ Small Entity Status is hereby asserted.

 8. ☐ Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)

 3. ☒ Specification [Total Pages: 52]

- (preferred arrangement set forth below)
- Descriptive title of the Invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to Sequence Listing, a table, or a computer program listing appendix.

- a. ☐ Computer Readable Form
- b. Specification Sequence Listing on:
 - i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☐ Paper
- c. ☐ The paper and computer readable copies are identical

- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

 4. ☒ Drawing(s) (35 USC 113) [Total Sheets: 9]

 5. ☒ Oath or Declaration [Total Pages : 3]

- a.1. ☒ Newly executed (original or copy)
- a.2. ☐ Unexecuted
- b. ☐ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 18 completed)

- i. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) named
in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).

 6. ☐ Application Data Sheet (see 37 CFR 1.76)

ACCOMPANYING APPLICATION PARTS

- 9. ☒ Assignment Papers (cover sheet & document(s))
- 10. ☐ 37 CFR 3.73(b) Statement (when there is an assignee)
☐ Power of Attorney
- 11. ☐ English Translation Document (if applicable)
- 12. ☒ Information Disclosure Statement (IDS)/PTO-1449
☒ Copies of IDS Citations
- 13. ☐ Preliminary Amendment
- 14. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
- 15. ☒ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
- 16. ☐ Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i).
Applicant must attach form PTO/SB/35 or its equivalent.
- 17. ☐ Other

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below, and in a preliminary amendment, or in an Application Data Sheet:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.

Prior Application Information Examiner Group Art Unit

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference therein. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

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February 26, 2004

 THE COMMISSIONER IS AUTHORIZED
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FEES FOR THIS PAPER TO DEPOSIT
ACCOUNT NO. 23-0976

022604

02281 U.S. PTO

17858 U.S. PTO
10786024

022604

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of :
Masahiro UEKAWA :
Serial No. NEW : **Attn: APPLICATION BRANCH**
Filed February 26, 2004 : Attorney Docket No. 2004-0308A
SUBASSEMBLY AND OPTICAL MODULE :
_____ :

PATENT OFFICE FEE TRANSMITTAL FORM

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Attached hereto is a check in the amount of \$1,632.00 to cover Patent Office fees relating to filing the following attached papers:

New application \$770.00
Assignment for Recordal \$40.00
Additional Claims Fee:
Excess of Twenty \$306.00
Independent \$516.00

A duplicate copy of this paper is being submitted for use in the Accounting Division, Office of Finance.

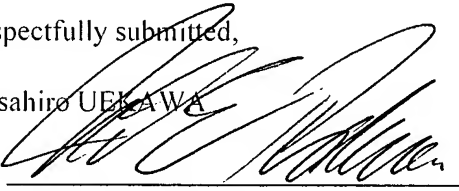
The Commissioner is authorized to charge any deficiency or to credit any overpayment associated with this communication to Deposit Account No. 23-0975, with the EXCEPTION of deficiencies in fees for multiple dependent claims in new applications.

THE COMMISSIONER IS AUTHORIZED
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FEES FOR THIS PAPER TO DEPOSIT
ACCOUNT NO. 23-0975

Respectfully submitted,

Masahiro UEKAWA

By


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[Check No. 60431]

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